



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants: L. Katz, et al.

Serial No.: 09/735,056

Filed: December 11, 2000

For: NOVEL POLYKETIDE
DERIVATIVES AND RECOMBINANT
METHODS FOR MAKING SAME

Attorney Docket No.: 4952:US.C1

Examiner: Unknown

Group Art Unit: Unknown

Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail addressed as follows:

Assistant Commissioner for Patents
Washington, D.C. 20231

Date of Deposit: *March 20, 2001*

Linda L. Powell
Linda L. Powell

TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith for the patent application identified above entitled Novel Polyketide Derivatives and Recombinant Methods For Making Same are the following:

1. Information Disclosure Statement (1 Page);
2. Form PTO 1449, in duplicate (7 Pages);
3. References as cited on PTO 1449 (84 References); and
4. Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR § 1.16, as well as any patent application processing fees under 37 CFR § 1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

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Respectfully submitted,
L. Katz, et al.

Dianne Casuto
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The following information is submitted, pursuant to 37 CFR §§1.97-1.98, in accordance with Applicant's duty of disclosure under 37 CFR §1.56. This submission is not intended to constitute an admission that any patent, publication or other information cited herein is "prior art" as to the invention claimed. In accordance with 37 CFR §§1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other material information as defined by 37 CFR §1.56(a) exists.

Applicants submit herewith Form PTO 1449 listing the references known to them. Applicants respectfully request that the Examiner (1) initial each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application and (2) return a copy of the initialed Form PTO 1449 to Applicants. Copies of the references are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR §1.97(b). Accordingly, no charge is required.

Respectfully submitted,
L. Katz, et al.

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